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11-8-02  
R. H. H.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Michael D. Perry

Appl. No.: 09/801,428

Filed: 3/7/2001

Title: DIODE-PUMPED SOLID-STATE  
LASER IN A POLYHEDRONAL  
GEOMETRY

Group Art Unit: 2815  
Examiner: Joseph H. Nguyen

Attorney Docket No.: 69975

Commissioner for Patents  
Washington, D.C. 20231

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**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

This Information Disclosure Statement is submitted:

☐ under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)

☒ under 37 CFR 1.97(c) together with either a:  
☒ Statement under 37 CFR 1.97(e), or  
☐ a \$180.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)

☐ under 37 CFR 1.97(d) together with a:  
☐ Statement under 37 CFR 1.97(e), and  
☐ a \$180.00 fee set forth in 37 CFR 1.17(p).  
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

☒ Applicant(s) submit herewith Form PTO 1449-Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

The relevance of the attached references is that this is the closest art of which Applicant is aware.

Applicant submits that the above references taken alone or in combination neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

It is requested that the information disclosed herein be made of record in this application.

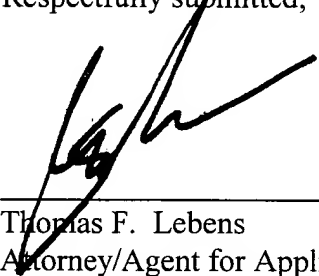
Respectfully submitted,

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit: October 31, 2002

Typed Name: Thomas F. Lebens

Signature: \_\_\_\_\_

  
\_\_\_\_\_  
Thomas F. Lebens  
Attorney/Agent for Applicant(s)  
Reg. No. 38221

Date: October 31, 2002

Telephone No.: 858-587-7644

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

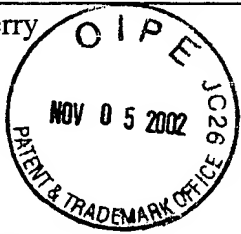
Inventor(s): Michael D. Perry

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STATEMENT UNDER 37 CFR 1.97(e)

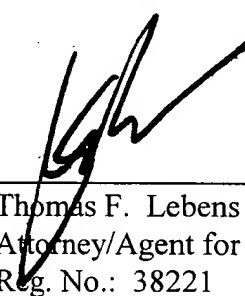
Sir:

The undersigned hereby certifies that either:

- ( X ) Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, not more than three months prior to the filing of the statement, or
- ( ) No item of information contained in the Information Disclosure Statement
  - was cited in a communication from a foreign patent office in a counterpart foreign application, and
  - to the knowledge of the undersigned, after making reasonable inquiry, was known to an individual designated in 37 CFR 1.56 (c) more than three months prior to the filing of the Information Disclosure Statement.

Respectfully Submitted,

By

  
Thomas F. Lebens  
Attorney/Agent for Applicant(s)  
Reg. No.: 38221

Date: October 31, 2002

Telephone No.: 858-587-7644